



Docket No.: 700157/50007-C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Engelman et al.

SERIAL NO.: 09/990,879

FILED: November 21, 2001

FOR: EPISOMALLY REPLICATING LENTIVIRAL VECTORS

EXAMINER:

GROUP: 1642

#13, 1
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1-14-03

CERTIFICATE OF MAILING (37 C.F.R. SECTION 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 9/13/02

Patricia W. Turner

(type or print name of person mailing paper)

Patricia W. Turner

Signature of person mailing paper

Assistant Commissioner for Patents
Washington, D.C. 20231

AMENDMENT UNDER C.F.R. § 1.825

In the response to the Notice to Comply with the Sequence Listing Requirements mailed July 17, 2002, a copy of which is attached hereto, Applicants enclose herewith a computer readable form and a paper copy of the "Sequence Listing" and a statement that the content of the paper and computer readable copies are the same.

Please insert the attached sequence listing into the application.

The Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 50-0850.

Date: Sept. 13, 2002

Respectfully submitted,

[Signature]

Ronald I. Eisenstein, Reg. No. 30,628

Nicole L.M. Valtz, Reg. No. 47,150

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**SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE
COPY, AND/OR AMENDMENT PERTAINING THERETO
FOR BIOTECHNOLOGY INVENTION CONTAINING NUCLEOTIDE
AND/OR AMINO ACID SEQUENCE**

IDENTIFICATION OF PERSON MAKING STATEMENT

1. I, Nicole L.M. Valtz, state the following:

ITEMS BEING SUBMITTED

2. Submitted herewith is/are:

A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§ 1.821(e) and 1.824.

A statement that the content of each "Sequence Listing" submitted and each computer readable copy are the same, as required in 37 C.F.R. § 1.821(g).

Because this submission is made in fulfilling the requirement under 37 C.F.R. § 1.821(g), a statement that the submission includes no new matter.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office (703) ____-____.

Date: 9/13/02

Patricia W. Turner
Signature

Patricia W. Turner

(type or print name of person certifying)

(Submission-Nucleotide and/or Amino Acid Sequence, page 1 of 2)

#12

**STATEMENT THAT "SEQUENCE LISTING"
AND COMPUTER READABLE COPY ARE THE SAME
AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER**

3. I hereby state:

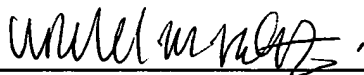
All papers accompanying this submission, or for which a request for transfer from applicants' other application, introduce no new matter.

The "Sequence Listing" and computer readable copy are the same.

If any additional extension and/or fee is required, charge Account No. 50-0850.

Tel No.: 617.345.6054
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SIGNATURE OF PRACTITIONER
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